

10/553372

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No. : U.S. Filing of International Application PCT/DE2004/000761  
Applicants : Haymo KATSCHOREK, Mathias SEITZ  
Confirm. No. : Unassigned  
Filed : Herewith  
Title : FILM AND OPTICAL ANTI-COUNTERFEITING ELEMENT  
TC/A.U. : N/A  
Examiner : N/A  
Docket No. : 1093-139 PCT/US  
Dated : October 17, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*I hereby certify this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 679459225 US postpaid in an envelope, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 17, 2005.*

Signature: 

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>PATENT DATE</u>
Fünfschilling, et al.	5,903,330	May 11, 1999
Taylor, et al.	6,062,604	May 16, 2000

**UNITED STATES PATENT PUBLICATIONS**

<b><u>APPLICANT</u></b>	<b><u>PUBLICATION NO.</u></b>	<b><u>PUBLICATION DATE</u></b>
Moia	2003/0035191 A1	February 20, 2003
Suzushi	2002/0110651 A1	August 15, 2002

**FOREIGN PATENT DOCUMENTS**

<b><u>COUNTRY</u></b>	<b><u>PUBLICATION NO.</u></b>	<b><u>PUBLICATION DATE</u></b>
Europe	EP 1 227 347 A1	July 31, 2002
WIPO	WO 01/60589 A1	August 23, 2001*
Europe	EP 1 203 968 A1	May 8, 2002*
Europe	EP 1 219 979 A1	July 3, 2002*
Europe	EP 1 028 359 A1	August 16, 2000*
Europe	EP 1 079 245 A2	February 28, 2001*
WIPO	WO 98/52077	November 19, 1998*
Europe	EP 0 704 066 B1	April 3, 1996
Japan	JP 2000347030	December 15, 2000
Switzerland	CH 653 161 A5	December 13, 1985

**OTHER DOCUMENTS**

Dr.-Ing. G. Gleske, "LCD-Technologie (Liquid Crystal Displays), Institut fur Konstruktion, Mikrotechnik und Medizintechnik der TU Berlin, Pages 1-8.

A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. Copies of all references cited are enclosed herewith. An English abstract of each non-English foreign patent

document has also been provided to facilitate reference thereto. English European patent document EP 0 704 066 is submitted herewith in lieu of corresponding non-English DE 694 28 700 which was cited in the prosecution of the related German application. A copy of the Abstract from U.S. Patent 4,501,439 to Antes has also been provided for reference to corresponding non-English patent document CH 653 161.

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. § 1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1093-139 PCT/US	SERIAL NO. 1041553372 Unassigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Katschorek, et al.	CONFIRMATION NO. Unassigned
(Use several sheets if necessary)		FILING DATE Herewith	GROUP Unassigned

## U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		2003/0035191	2/20/03	Moia			
		2002/0110651	8/15/02	Suzushi			

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		5,903,330	5/11/99	Fünfschilling, et al.			
		6,062,604	5/16/00	Taylor, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		EP 1 227 347 A1	7/31/02	Europe				
		WO 01/60589 A1	8/23/01*	WIPO				
		EP 1 203 968 A1	5/8/02*	Europe				
		EP 1 219 979 A1	7/3/02*	Europe				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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		EP 1 028 359 A1	8/16/00*	Europe				
		EP 1 079 245 A2	2/28/01*	Europe				
		WO 98/52077	11/19/98*	WIPO				
		EP 0 704 066 B1	4/3/96	Europe				
		JP 2000347030	12/15/00	Japan				
		CH 653 161 A5	12/13/85	Switzerland				

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